

	Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12406-021001	Application No. 09/913,394
	<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)			Applicant Osram Opto Semiconductors GmbH & Co. OHG
				Filing Date August 13, 2001

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
VAMS	AA	6,023,077	02/08/2000	Iyechika et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
VAMS	AL	DE 19648955	05/28/1997	Germany				
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
VAMS	AQ	Sergey Yu. Karpov et al., "A Quantitative Model of Surface...", Materials Research Society, Vol. 618, 2000, pgs. 185-191.
VAMS	AR	Jean-Michel Gérard, "Growth of InGaAs/GaAs heterostructures with abrupt interfaces on the monolayer scale", Journal of Crystal Growth, Vol. 150, 1995, pgs. 467-472.
	AS	
	AT	

Examiner Signature <i>VAMS A. Mandalay</i>	Date Considered 9-2-04
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	